

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK

-----X  
SECURITIES AND EXCHANGE COMMISSION, :  
  :  
   :  
   :  
Plaintiff,                               :  
   :  
   :  
-v-   No. 16-cv-6848 (BMC)  
   :  
   :  
PLATINUM MANAGEMENT (NY) LLC;          :  
PLATINUM CREDIT MANAGEMENT, L.P.;      :  
MARK NORDLICHT;                          :  
DAVID LEVY;                               :  
DANIEL SMALL;                              :  
URI LANDESMAN;                           :  
JOSEPH MANN;                              :  
JOSEPH SANFILIPPO; and                  :  
JEFFREY SHULSE,                           :  
   :  
   :  
Defendants.                               :  
-----X

**ORDER APPROVING FOURTH INTERIM APPLICATION OF  
CONWAY MACKENZIE CAPITAL ADVISORS, LLC FOR ALLOWANCE OF  
COMPENSATION AND REIMBURSEMENT OF EXPENSES INCURRED DURING  
THE PERIOD JULY 1, 2018 THROUGH SEPTEMBER 30, 2018**

THIS MATTER coming before the Court on the Fourth Interim Application of Conway Mackenzie Capital Advisors, LLC, (“CM”) as consultant and investment banker to Melanie L. Cyganowski, the Court-appointed receiver (the “Receiver”), for Allowance of Compensation and Reimbursement of Expenses Incurred During the Period July 1, 2018 Through September 30, 2018 (the “Fourth Interim Application”)<sup>1</sup> [Dkt. No. 440]; and the Court having considered the Fourth Interim Application and exhibits and other documents filed in support of the Fourth Interim Application; and the Court having found that the Fourth Interim Application complies with applicable standards for awarding fees and expenses; and after due deliberation and for good and sufficient cause shown; it is hereby

---

<sup>1</sup> Capitalized terms utilized but not otherwise defined herein shall have the meaning ascribed to them in the Fourth Interim Application.

**ORDERED** that the Fourth Interim Application for the period covering July 1, 2018 through September 30, 2018 (the “Fourth Application Period”) is granted; and it is further

**ORDERED** that the fees requested by CM for the Fourth Application Period are allowed on an interim basis in the amount of \$205,518.50 (the “Allowed Fees”); and it is further

**ORDERED** that CM’s request for reimbursement of its out-of-pocket expenses for the Fourth Application Period is allowed on an interim basis in the amount of \$336.27; and it is further

**ORDERED** that the Receiver is authorized to immediately pay from the Receivership assets, to the extent not already paid (i) the Allowed Fees, less twenty (20%) percent of the Allowed Fees, plus (ii) 100% of the allowed out-of-pocket expenses of Applicants.

SO ORDERED.

---

U.S.D.J.

Dated: Brooklyn, New York  
January 17, 2019

18